DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)			•	Attorney Docket Number First Named Inventor	23540-09582 (2004-167-2) Bing Gup		
				COMPLETE IF KNOWN			
	Declaration	OR	×	Declaration Submitted after initial Filing (surcharge (37 CFR 1.16 (e)) required)	Application Number	10/576,776	
_	Submitted With Initial				Filing Date	August 20, 2006	
	Filing				Art Unit	Unknown	
					Examiner Name	Unknown	

I hereby declare that:			-		-
Each inventor's residence	e, mailing add	lress, and citizenship	are as stat	ted below next to the	ir name.
I believe the inventor(s) for which a patent is sou	named below ight on the inve	to be the original and ention entitled:	first invent	tor(s) of the subject r	matter which is claimed and
	·		<del></del>		
Meth	ods for P	eparing and F	unction	alizing Nanopa	ırticles
		(Title of the	Application)		
the specification of which	) ·	, , , , , , , , , , , , , , , , , , , ,	* (pp://out/011)	,	
☐ is attached hereto					
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OR					
was filed on (MM/DD/	YYYY) 08/2	0/2006	as United S	States Application Nur	mber or PCT International
Application Number 10	/576,776	and was amende	d on (MM/D	D/YYYY)	(if applicable).
I hereby state that I have r amended by any amendm	eviewed and u ent specifically	nderstand the content referred to above.	s of the abor	ve identified specifica	lion, including the daims, as
and the national of PCT in	ations, material ternational filine	information which be date of the continual	came avaital tion-in-part a	ble between the filing	date of the prior application
I hereby claim foreign prior	rity benefits und	ler 35 U.S.C. 119(a)-(	d) or (f), or 3	65(b) of any foreign a	application(s) for patent.
country other than the Unit	's riants certific	ate(s), or 365(a) of an	v PCT interr	rational application wi	nich decianated at least one
roreign application for pate	ınt, inventor's o	r plant breeder's right	s certificate(:	s), or any PCT interna	enecking the box, any etional application having a
ming date before that of the	e application or	<u>i which priority is clain</u>	ned.		more opposition naving a
Prior Foreign Application Number(s)	Country	Foreign Filing (MM/DD/YY)		Priority	Certified Copy Attached?
PCT/US2004/035295	WO	10/22/2004	11)	Not Claimed	Yes No
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☐ Additional foreign appli	cation numbers	are listed on a suppl	emental prio	rity data sheet PTO/S	B/02B attached hereto.

Davis

#### **DECLARATION** – Utility or Design Patent Application OR Correspondence address below 758 Name Address City State ZIP Country Telephone Fax I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. NAME OF SOLE OR FIRST INVENTOR: A petition has been filed for this unsigned inventor Given Name Family Name (first and middle (if any)) or Surname Bing Guo Inventor's Signature 11/7/2006 Residence: City State Country Citizenship Woodland College Station TX**C**\* USA China Mailing Address 800 Fore Ct. 776 Laugenour Brive <u>City</u> State ZIP College Station Country 77*845* 95776 USA NAME OF SECOND INVENTOR: A petition has been filed for this unsigned inventor Given Name Family Name (first and middle [if any]) or Sumame ian M. Kennedy Inventor's Date Signature Residence: City <u>State</u> Country Citizenship Davis CA USA USA Mailing Address 2754 Eel Place City State ZIP Country

95616

CA

Additional inventors or a legal representative are being named on additional sheet(s)

USA

DECLARATION				ADDITIONAL INVENTOR(S) Supplemental Sheet				
NAME OF THIRD INVENTOR:			A petition	n has been filed for t	his	unsigned inventor		
Given Name (first and middle [if any])			•	Family Name or Surname				
Dosi				Dosev				
Inventor's Signature			, . <u> </u>			Date		
Residence: City	<u>State</u>		Count	ry	<u> </u>	Citizenship		
Davis	CA		USA		E	Bulgaria		
Mailing Address					•			
1805 Anderson Road, #53								
City	State		ZIP		<u>c</u>	Country		
Davis	CA		95616		Ų	ISA		
NAME OF FOURTH INVENTOR:	<u></u>		A petition	has been filed for th	nis	unsigned inventor		
Given Name (first and middle [if any])	· · · · · · · · · · · · · · · · · · ·		Family Name or Surname					
Darina				Melnikov				
Inventor's Signature					_	Date		
Residence: City	State		Countr	Υ	<u>C</u>	itizenship		
Carmichael	CA		USA		USA			
Mailing Address								
5929 Shirley Avenue								
City	<u>State</u>		<u>ZIP</u>			ountry		
Carmichael	CA		95608		USA			
NAME OF FIFTH INVENTOR:			A petition	has been filed for th	is t	Insigned inventor		
Given Name (first and middle [if any])			Family Name or Surname					
Mikaela			Nichkova					
Inventor's Signature						Date		
Residence: City	<u>State</u>		Countr	Y	<u>C</u>	tizenshlp		
Davis CA			USA		В	ulgaria		
Mailing Address								
1050 Lake Blvd, #32								
City	<u>State</u>		ZIP		Country			
Davis	CA		95616		USA			
Additional inventors or a legal represe	ntative are being named or	n addi	ilional she	et(s).				

#### PATENT APPLICATION COMPLETE IF KNOWN (37 CFR 1.63) 10/576.776 Application Number Declaration Declaration August 20, 2006 Filing Date Submitted after Initial Submitted OR Filing (surcharge With Initial Art Unit Unknown (37 CFR 1.16 (e)) Filing required) Unknown **Examiner Name** I hereby declare that: Each inventor's residence, mailing address, and citizenship are as stated below next to their name. I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled: Methods for Preparing and Functionalizing Nanoparticles (Title of the Application) the specification of which is attached hereto OR as United States Application Number or PCT International 08/20/2006 (if applicable). and was amended on (MM/DD/YYYY) 10/576,776 Application Number I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application. I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed Certified Copy Attached? **Priority** Foreign Filing Date Prior Foreign No **Not Claimed** Yes Country (MM/DD/YYYY) Application Number(s) $\boxtimes$ П 10/22/2004 П PCT/US2004/035295 WO

Attorney Docket Number

First Named Inventor

**DECLARATION FOR UTILITY OR** 

**DESIGN** 

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

23540-09582 (2004-167-2)

Bing Guo

## **DECLARATION** – Utility or Design Patent Application

Direct all correspondence to:	Customer Number:		758		OR 🗆	Corre	spondence address below	
Name								
Address	· · · · · · · · · · · · · · · · · · ·	<u> </u>						
City State				ZIP				
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.								
NAME OF SOLE OR FIRST INVE	NTOR:		A petition	n has be	en filed f	or this	unsigned inventor	
Given Name (first and middle [if any])				Family Name or Surname Guo				
Inventor's Signature	<del>_</del> _	1			Date			
Residence: City	State		Count	ry			Citizenship	
Woodland	CA	USA					China	
Mailing Address								
776 Laugenour Drive	Louis		I ZID				Country	
<u>City</u>	State	<u>ZIP</u>		76			Country USA	
Woodland	CA	Γ_	95776					
NAME OF SECOND INVENTOR:			A petitio			for this	unsigned inventor	
Given Name (first and middle [if any])				Family or Sur	<u>Name</u>			
lan M.				Kenne	edy			
Inventor's Signature	9						Date 10/17/06	
Residence: City State			Country			<u> T</u>	Citizenship	
Davis	CA	USA				USA		
Mailing Address								
2754 Eel Place								
<u>City</u> <u>State</u>			ZIP			$\Box$	Country	
Davis	CA		95610	6			USA	
Additional inventors or a legal repre	sentative are being name	d on ad	ditional s	heet(s)				

DECLARATION				ADDITIONAL INVENTOR(S) Supplemental Sheet					
NAME OF THIRD INVENTOR:	NAME OF THIRD INVENTOR:					unsigned inventor			
Given Name (first and middle [if any])			Family Name or Surname						
Dosi				Dosev					
Inventor's Signature						Date			
Residence: City	<u>State</u>		Count	ry	C	itizenship			
Davis	CA		USA		В	ulgaria 			
Mailing Address		,							
1805 Anderson Road, #53				÷		·			
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Davis	CA		95616	i	U	SA			
NAME OF FOURTH INVENTOR:			A petition	n has been filed for th	nis (	unsigned inventor			
Given Name (first and middle [if any])		Family Name or Surname							
Darina		Melnikov							
Inventor's Signature						Date			
Residence: City	State	-	Count	ry	ဋ	itizenship			
Carmichael	CA		USA			SA			
Mailing Address	<u> </u>	_							
5929 Shirley Avenue									
City	State		ZIP		으	ountry			
Carmichael	CA		95608			USA			
NAME OF FIFTH INVENTOR:		☐ A petition has been filed for this unsigned inventor							
Given Name (first and middle [if any])		Family Name or Surname Nichkova							
Mikaela	· · · · · · · · · · · · · · · · · · ·			Nionkova		Date			
Inventor's Signature									
Residence: City State			Count	ry		itizenship			
Davis CA			USA			Bulgaria			
Mailing Address									
1050 Lake Blvd, #32									
<u>City</u> <u>State</u>		ZIP				Country			
Davis	CA		95616	<b>.</b>	USA				
Additional inventors or a legal repres	sentative are being named	on add	ditional sh	neet(s).					

#### (37 CFR 1.63) 10/576,776 Application Number Declaration Declaration $\boxtimes$ August 20, 2006 Submitted after Initial Filing Date Submitted OR With Initial Filing (surcharge Unknown Art Unit (37 CFR 1.16 (e)) Filing required) Unknown **Examiner Name** I hereby declare that: Each inventor's residence, mailing address, and citizenship are as stated below next to their name. I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled: Methods for Preparing and Functionalizing Nanoparticles (Title of the Application) the specification of which is attached hereto OR as United States Application Number or PCT International 08/20/2006 was filed on (MM/DD/YYYY) (if applicable). and was amended on (MM/DD/YYYY) Application Number 10/576,776 I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application. I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed. Certified Copy Attached? Priority Foreign Filing Date Prior Foreign Not Claimed Yes No (MM/DD/YYYY) Application Number(s) Country $\boxtimes$ wo 10/22/2004 П PCT/US2004/035295 $\Box$

Attorney Docket Number

First Named Inventor

DECLARATION FOR UTILITY OR DESIGN

PATENT APPLICATION

23540-09582 (2004-167-2)

Bing Guo

COMPLETE IF KNOWN

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

### **DECLARATION** – Utility or Design Patent Application

Direct all correspondence to: ☐ Customer Number: 758 OR ☐ Correspondence address below								
Name								
Address								
City	State				ZIP			
Country Te	lephone		F	ax				
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.								
NAME OF SOLE OR FIRST INV	ENTOR:		A petition	n has been filed	d for this	unsigned inventor		
Given Name				Family Name or Surname	<u>e</u>			
(first and middle [if any])				Guo				
Inventor's			<u> </u>	Guo		Date		
Signature			_					
Residence: City	<u>State</u>	Count		ĽΥ		Citizenship		
Woodland	CA	USA				China		
Mailing Address								
776 Laugenour Drive								
City	<u>State</u>		ZIP			Country		
Woodland	CA	_	95776			JSA		
NAME OF SECOND INVENTOR	R:		A petition	n has been filed	d for this	unsigned inventor		
Given Name (first and middle [if any])				Family Namor Surname	<u>e</u>			
lan M.				Kennedy				
Inventor's Signature						Date		
Residence: City State			Count	ry	1	Citizenship		
Davis	CA		USA			USA		
Mailing Address								
2754 Eel Place								
<u>City</u> <u>State</u>			ZIP		2	Country		
Davis	CA		95616 USA					
Additional inventors or a legal representative are being named on additional sheet(s)								

DECLAR	Supplem	ADDITIONAL INVENTOR(S) Supplemental Sheet				
NAME OF THIRD INVENTOR:		☐ A petition	on has been filed for t	his ı	unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname				
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Inventor's Signature	oeV				Date 11/20/2006	
Residence: City	<u>State</u>	Cour	ntry	<u>c</u>	<u>itizenship</u>	
Davis	CA	USA		В	ulgaria	
Mailing Address						
1650 Colusa Avenue						
City	<u>State</u>	ZIP		<u> </u>	ountry	
Davis	CA	9561	6	U	SA	
NAME OF FOURTH INVENTOR:		☐ A petition	on has been filed for t	his ι	unsigned inventor	
Given Name			Family Name			
(first and middle [if any])			or Surname			
Darina			Melnikov		I D. (	
Inventor's Signature					Date	
Residence: City	State	Cour	ntry	C	itizenship	
Carmichael	CA	USA	USA		USA	
Mailing Address		<u> </u>			-	
5929 Shirley Avenue						
City	State	ZIP		<u>c</u>	ountry	
Carmichael	CA	9560	95608		USA	
NAME OF FIFTH INVENTOR:		☐ A petition	on has been filed for t	his ı	unsigned inventor	
Given Name			Family Name			
(first and middle [if any])			or Surname			
Mikaela			Nichkova		I Data	
Inventor's Signature			11/20/2006			
Residence: City State		Cour	ntry		<u>citizenship</u>	
Davis CA		USA	USA		Bulgaria	
Mailing Address						
1650 Colusa Avenue						
City	State	ZIP		Country		
· · ·			95616 USA			
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### (37 CFR 1.63) Application Number 10/576,776 Declaration Declaration August 20, 2006 Filing Date Submitted after Initial Submitted OR Filing (surcharge With Initial Art Unit Unknown (37 CFR 1.16 (e)) Filing required) **Examiner Name** Unknown I hereby declare that: Each inventor's residence, mailing address, and citizenship are as stated below next to their name. I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled: Methods for Preparing and Functionalizing Nanoparticles (Title of the Application) the specification of which is attached hereto OR as United States Application Number or PCT International 08/20/2006 was filed on (MM/DD/YYYY) and was amended on (MM/DD/YYYY) (if applicable). 10/576,776 Application Number I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application. I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed. **Certified Copy Attached?** Priority Foreign Filing Date **Prior Foreign** (MM/DD/YYYY) No **Not Claimed** Yes Application Number(s) Country $\boxtimes$ 10/22/2004 WO PCT/US2004/035295 Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

Attorney Docket Number

First Named Inventor

DECLARATION FOR UTILITY OR DESIGN

PATENT APPLICATION

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Bing Guo

COMPLETE IF KNOWN

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Name										
Address	Address									
City		State					ZIP			
Country	Telephone			F	ax	<u></u> <u>_</u>				
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.										
NAME OF SOLE OR FIRST I	NVENTOR:			\ petitio	n has be	en filed	for this	s unsigned inventor		
Given Name (first and middle [if any])					Family or Sur	/ Name	2			
Bing					Guo					
Inventor's Signature								Date		
Residence: City	<u>State</u>		Countr		try	ΙΧ		<u>Citizenship</u>		
Woodland	CA	USA		USA				China		
Mailing Address										
776 Laugenour Drive										
City	<u>State</u>		ZIP					Country		
Woodland	CA			95776	3			USA		
NAME OF SECOND INVENT	OR:			A petitio	n has be	en filed	for this	s unsigned inventor		
Given Name (first and middle [if any])		_			Family or Sur	y Name name	2			
lan M.					Kenn	edy				
Inventor's Signature								Date		
Residence: City State				Count	try	Citize		Citizenship		
Davis	CA		USA					USA		
Mailing Address										
2754 Eel Place										
City	<u>State</u>			ZIP				Country		
Davis	CA			95616	3			USA		
Additional inventors or a legal r	epresentative are bein	g named	on add	litional sl	neet(s)					

DECLARATION				ADDITIONAL INVENTOR(5) Supplemental Sheet				
NAME OF THIRD INVENTOR:								
Given Name		<u> </u>	A petition has been filed for this unsigned inventor Family Name					
(first and middle [if any])				or Surname				
Dosi				Dosey				
Inventor's Signature						Date		
Residence: City	State		Count	iry	2	l ltizenship		
Davis	CA		USA		E	lulgaria		
Mailing Address					<del>.  </del>			
1650 Colusa Avenue								
City	State		ZIP		Τ <u>σ</u>	ountry		
Davis	CA		95616	i	Įυ	SA		
NAME OF FOURTH INVENTOR:			A petition	has been filed for t	his	Unsigned inventor		
Given Name (first and middle [if any])	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			Family Name or Sumame				
Darina	, e) ,	· · · · · · · · · · · · · · · · · · ·		<u>Melnikov</u>				
Inventor's Signature		~				1/11/2007		
Residence: City	Starte	Country			Ç	itizenship		
Carmichael	CA	USA			USA			
Mailing Address	, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,							
5929 Shirley Avenue								
City	State	ZIP			C	ountry		
Carmichael	CA	95608			U	SA .		
NAME OF FIFTH INVENTOR:			A petition	has been filed for th	ıis u	Insigned inventor		
Given Name (first and middle [if any])		Family Name						
Mikaela		or Sumame Nichkova						
Inventor's Signature			1	(A)CINO 40		Date		
Residence: City	State		Countr	Y	Ci	tizenship		
Davis CA			USA		Bulgaria			
Mailing Address			· · · · · ·	· · · · · · · · · · · · · · · · · · ·				
1650 Colusa Avenue								
City	State	T	ZIP		Ċс	untry		
Davis	Davis CA S				US	USA		
Additional inventors or a legal represe	ntative are being named o	n addi	tional she	et(s).				

Page 3 of 3

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